

09-04-01

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Express Mail Label No.: EL 736967687 US

**UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)**

Docket No.

GP-30088-D1

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application
Washington, DC 20231**

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

NOVEL COMPOUNDS

and invented by:

David Michalovich, Matthew Alan Sims, and Narjis Shaikh

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior Application No.: 09/184,001

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior Application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior Application No.: _____

Enclosed are:

Application Elements

- ☒ Filing fee as calculated and transmitted as described below
- ☒ Specification having 30 pages and including the following:
- a. ☒ Descriptive Title of the Invention
 - b. ☐ Cross References to Related Applications (*if applicable*)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (*if applicable*)
 - d. ☐ Reference to Microfiche Appendix (*if applicable*)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☐ Brief Description of the Drawings (*if drawings filed*)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

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Docket No.

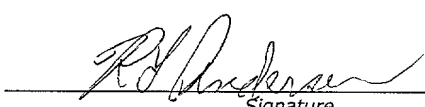
GP-30088-D1

Application Elements (Continued)

3. ☐ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☐ Formal Number of Sheets _____
- b. ☐ Informal Number of Sheets _____
4. ☒ Oath or Declaration
- a. ☐ Newly executed *(original or copy)* ☐ Unexecuted
- b. ☒ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
- ☒ Incorporation By Reference *(Usable if Box 4b is checked)*
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ Computer Program in Microfiche *(Appendix)*
7. ☒ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☒ Paper Copy
- b. ☒ Computer Readable Copy *(identical to paper copy)*
- c. ☒ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☐ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☒ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Acknowledgement Postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail *(Specify Label No.):* **EL 736967687 US**

UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity) <i>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</i>	Docket No. GP-30088-D1				
Accompanying Application Parts (Continued)					
15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input checked="" type="checkbox"/> Additional Enclosures <i>(please identify below):</i> <div style="text-align: center;">Copies of Assignment and Cover Sheet</div>					
Fee Calculation and Transmittal					
CLAIMS AS FILED					
FOR	FILED	ALLOWED	EXTRA	RATE	FEE
Total Claims	2	-20 =	0	x \$18.00	\$0.00
Indep. Claims	1	- 3 =	0	x \$80.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
Basic Fee					\$710.00
OTHER FEE (specify purpose) _____					\$0.00
TOTAL FILING FEE					\$710.00
<input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge and credit Deposit Account No. 19-2387 as described below. A duplicate copy of this sheet is enclosed. <input checked="" type="checkbox"/> Charge the amount of \$710.00 as filing fee. <input type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17. <input type="checkbox"/> Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).					
Date: <u>August 31, 2001</u>			 _____ <i>Signature</i>		
			Robert L. Andersen, Reg. No. 25,771 Ratner & Prestia P.O. Box 980 Valley Forge, PA 19482-0980 (610) 407-0700 (610) 407-0701 (FAX)		

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): David Michalovich, *et al.***Docket No.**

GP-30088-D1

Serial No.

To Be Assigned

Filing Date

Herewith

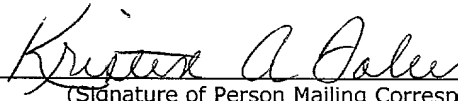
Examiner**Group Art Unit****Invention:**

NOVEL COMPOUNDS

I hereby certify that this **Utility Transmittal Sheet and indicated enclosures** is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on: August 31, 2001.

Kristen A. Foley

(Typed Or Printed Name o Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

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